Applicant:

GATTO

Serial No:

09/775,599

February 5, 2001

Filing Date: Page:

2 of 24

This listing of claims will replace all prior versions and listings of claims in the Application.

LISTING OF CLAIMS:

1-2. (cancelled)

- 3. (*Currently Amended*) The method of claim ± 27 , wherein the estimates include at least quarterly or fiscal earnings estimates.
- 4. (*Currently Amended*) The method of claim ± 27 , wherein the estimates include at least revenue projections.
- 5. (*Currently Amended*) The method of claim ± 27 , wherein the estimates include at least a security's target price.
- 6. (*Currently Amended*) The method of claim ± 27 , wherein the estimates include at least cash flow projections.
- 7. (*Currently Amended*) The method of claim ± 27 , wherein the estimates include at least financial predictions.

Applicant:

GATTO

Serial No:

09/775,599

Filing Date:

February 5, 2001

Page:

3 of 24

8. (*Currently Amended*) The method of claim ± 27 , wherein the indication of historical accuracy for an analyst is for one or more securities, and the analyst's estimate for a future event is for one or more securities.

- 9. (*Currently Amended*) The method of claim 8, wherein the one or more securities include a set of securities in an industry.
- 10. (*Currently Amended*) The method of claim 8, wherein the one or more securities include a set of securities in a sector.
- 11. (*Currently Amended*) The method of claim 8, wherein the one or more securities include a set of securities followed by an analyst.
- 12. (*Currently Amended*) The method of claim 8, wherein the one or more securities include a set of securities followed by a brokerage firm with which an analyst is associated.
- 13. (*Currently Amended*) The method of claim 8, wherein the one or more securities include one or more user-selected sets of securities.
- 14. (*Previously Presented*) The method of claim 13, further comprising saving one or more user-selected sets of securities and reusing one or more user-selected sets of securities.

Applicant:

GATTO

Serial No:

09/775,599

Filing Date:

February 5, 2001

Page:

4 of 24

15. (Currently Amended) The method of claim ± 27 , wherein the indication of historical

accuracy comprises a performance rating.

16. (Currently Amended) The method of claim ± 27 , wherein the indication of historical

accuracy comprises a performance rating based on one or more performance metrics.

17. (Currently Amended) The method of claim ± 27 , wherein the indication of historical

accuracy comprises a performance rating based on one or more performance metrics, and the

rating comprises a scale of integers from of 1-N.

18. (Currently Amended) The method of claim 17, wherein N=5.

19. (Currently Amended) The method of claim ± 27 , wherein the indication of historical

accuracy comprises a relative accuracy score, comparing an analyst's accuracy relative to the

accuracy of other analysts.

20. (Currently Amended) The method of claim ± 27 , wherein the indication of historical

accuracy comprises an accuracy measurement based on a performance metric aggregated over

a user-selected number of quarters or fiscal periods.

21-23. (cancelled)

Applicant:

GATTO

Serial No:

09/775,599

February 5, 2001

Filing Date: Page:

5 of 24

24. (Currently Amended) A The computer-implemented method of claim 1 for displaying information relating to one or more analysts' estimates for a selected future event, comprising:

displaying simultaneously wherein, for a user-selected security, on an analyst by analyst basis displaying simultaneously further comprises displaying, only for top analysts that have a current estimate for that the user-selected security, on an analyst by analyst basis,:

- i) an indication of historical accuracy for that an analyst; and
- ii) the analyst's estimate of for a future events event for that the user-selected security.
- 25. (Currently Amended) A The computer-implemented method of claim 1 for displaying information relating to one or more analysts' estimates for a selected future event, comprising:

displaying simultaneously wherein, for a user-selected security, on an analyst by analyst basis displaying simultaneously-further comprises displaying, only for analysts that have a predetermined track record of estimates for that the user-selected security, on an analyst by analyst basis,:

- i) an indication of historical accuracy for that an analyst; and
- ii) the analyst's estimate of for a future event for that the user-selected security.

Applicant:

GATTO

Serial No:

09/775,599

February 5, 2001

Filing Date: Page:

6 of 24

26. (Currently Amended) A The computer-implemented method of claim 1 for displaying information relating to one or more analysts' estimates for a selected future event, comprising:

displaying simultaneously, for a user-selected security, on an analyst by analyst basis, for selected analysts:

- i) an indication of historical accuracy for an analyst;
- ii) the analyst's estimate for a future event for the user-selected security;
- <u>iii)</u> wherein, for a user selected security, displaying simultaneously further comprises displaying, along with the indication of historical accuracy for that analyst and the analyst's estimate of future events for that security, other information relating to that the user-selected security.

Applicant:

GATTO

Serial No:

09/775,599

Filing Date:

February 5, 2001

Page:

7 of 24

27. (Currently Amended) A The computer-implemented method of claim 1, for displaying information relating to one or more analysts' estimates for a selected future event, comprising:

displaying simultaneously, on an analyst by analyst basis, for selected analysts:

- i) an indication of historical accuracy for an analyst based on selected criteria;
 - ii) the analyst's estimate for a future event; and
- <u>iii)</u> wherein displaying further comprises simultaneously displaying, on an analyst by analyst basis, for selected analysts, a graphical indication of whether an the analyst's eurrent estimate is above or below a consensus estimate.
- 28. (Currently Amended) A The computer-implemented method of claim 1, for displaying information relating to one or more analysts' estimates for a selected future event, comprising:

- i) an indication of historical accuracy for an analyst based on selected
 criteria;
 - ii) the analyst's estimate for a future event; and
- <u>iii)</u> wherein displaying further comprises simultaneously displaying, on an analyst by analyst basis, for selected analysts, a graphical indication of whether an the analyst's eurrent estimate is above or below a consensus estimate and the difference between the analyst's estimate and the consensus estimate.

Applicant:

GATTO

Serial No: Filing Date:

09/775,599

February 5, 2001

Page:

8 of 24

29. (Currently Amended) A The computer-implemented method of claim 1, for displaying information relating to one or more analysts' estimates for a selected future event, comprising:

- i) an indication of historical accuracy for an analyst based on selected criteria;
 - ii) the analyst's estimate for a future event; and
- <u>iii)</u> wherein displaying further comprises simultaneously displaying, on an analyst by analyst basis, for selected analysts, a graphical indication of whether an the analyst's eurrent estimate exceeds a consensus estimate by a predetermined amount or is below a consensus estimate by a predetermined amount.

Applicant:

GATTO

Serial No:

09/775,599

Filing Date:

February 5, 2001

Page:

9 of 24

30. (Currently Amended) A The computer-implemented method of claim 1, for displaying information relating to one or more analysts' estimates for a selected future event, comprising:

displaying simultaneously, on an analyst by analyst basis, for selected analysts:

- i) an indication of historical accuracy for an analyst based on selected criteria;
 - ii) the analyst's estimate for a future event; and
- <u>iii)</u> wherein displaying further comprises -simultaneously displaying, on an analyst by analyst basis, for selected analysts, a graphical indication of whether an the analyst's eurrent estimate exceeds a consensus estimate by a predetermined amount or is below a consensus estimate by a predetermined amount[[,]]; and

wherein the selected analysts are selected from a group of top analysts based on historical performance.

Applicant:

GATTO

Serial No:

09/775,599

February 5, 2001

Filing Date:

Page:

10 of 24

31. (Currently Amended) A The computer-implemented method of claim 1, for displaying information relating to one or more analysts' estimates for a selected future event,

comprising:

displaying simultaneously, on an analyst by analyst basis, for selected analysts:

i) an indication of historical accuracy for an analyst based on selected criteria;

- ii) the analyst's estimate for a future event; and
- analyst by analyst basis, for selected analysts, a graphical indication of whether an the analyst's eurrent estimate exceeds a consensus estimate by a predetermined amount or is below a consensus estimate by a predetermined amount and the difference between the analyst's estimate and the consensus estimate[[,]]; and

wherein the selected analysts are selected from a group of top analysts based on historical performance.

- 32. (*Previously Presented*) The method of claim 31 wherein the group of top analysts comprises one or more top analysts based on historical performance for a selected security.
- 33. (*Previously Presented*) The method of claim 31 wherein the group of top analysts comprises one or more top analysts based on historical performance for a selected sector.

Applicant:

GATTO

Serial No:

09/775,599

Filing Date:

February 5, 2001

Page:

11 of 24

34. (*Previously Presented*) The method of claim 31 wherein the group of top analysts comprises one or more top analysts based on historical performance for a selected industry.

- 35. (*Previously Presented*) The method of claim 31 wherein the group of top analysts comprises one or more top analysts based on an analyst's historical performance for all securities for which the analyst has issued estimates.
- 36. (*Previously Presented*) The method of claim 31 wherein the group of top analysts comprises one or more top analysts based on an analyst's historical performance for a user-selected group of securities.

Applicant:

GATTO

Serial No:

09/775,599

Filing Date:

February 5, 2001

Page:

12 of 24

37. (Currently Amended) A The computer-implemented method of claim 1, for displaying information relating to one or more analysts' estimates for a selected future event, comprising:

displaying simultaneously, on an analyst by analyst basis, for selected analysts:

- i) an indication of historical accuracy for an analyst for one or more securities;
- ii) the analyst's estimate for a future event for the one or more securities; and

wherein displaying further comprises simultaneously displaying, on an analyst by analyst basis, for selected analysts, an indication of historical accuracy for an analyst for one or more securities and the analyst's estimate of a future event for the one or more securities, wherein the order in which the analysts appear is based on a rating of the analysts.

38-39. (cancelled)

Applicant:

GATTO

Serial No:

09/775,599 February 5, 2001

Filing Date: Page:

13 of 24

40. (Currently Amended) A The computer-implemented method of claim 38, for displaying information relating to one or more analysts' recommendations for an action with respect to at least one security, comprising:

- i) an indication of historical performance for an analyst based on selected criteria;
- ii) a current recommendation of the analyst for an action with respect to at least one security; and
- <u>iii)</u> wherein displaying further comprises simultaneously displaying, on an analyst by analyst basis, for selected analysts, a graphical indication of whether an analyst's current recommendation is above or below a numerical value associated with a consensus recommendation for the at least one security.

Applicant:

GATTO

Serial No:

09/775,599

February 5, 2001

Filing Date: Page:

14 of 24

41. (Currently Amended) A The computer-implemented method of elaim 38, for displaying information relating to one or more analysts' recommendations for an action with respect to at least one security, comprising:

- i) an indication of historical performance for an analyst based on selected criteria;
- ii) a current recommendation of the analyst for an action with respect to at least one security; and
- analyst by analyst basis, for selected analysts, a graphical indication of whether an analyst by analyst basis, for selected analysts, a graphical indication of whether and analyst's current recommendation is above or below a numerical value associated with a consensus recommendation for the at least one security, and the difference between the numerical value associated with the analyst's current recommendation and the numerical value associated with the consensus recommendation.

Applicant:

GATTO

Serial No:

09/775,599

February 5, 2001

Filing Date: Page:

15 of 24

42. (Currently Amended) A The computer-implemented method of claim 38, for displaying information relating to one or more analysts' recommendations for an action with respect to at least one security, comprising:

- i) an indication of historical performance for an analyst based on selected criteria;
- ii) a current recommendation of the analyst for an action with respect to at least one security; and
- <u>iii)</u> wherein displaying further comprises—simultaneously displaying, on an analyst by analyst basis, for selected analysts, a graphical indication of whether an analyst by analyst basis, for selected analysts, a graphical indication of whether and analyst by analyst basis, for selected analysts, a graphical indication of whether and analyst by analyst basis, for selected analysts, a graphical indication of whether and analyst by analyst basis, for selected analysts, a graphical indication of whether analyst by analyst basis, for selected analysts, a graphical indication of whether analysts by analyst basis, for selected analysts, a graphical indication of whether analysts by analyst basis, for selected analysts, a graphical indication of whether analysts analyst by analyst basis, for selected analysts, a graphical indication of whether analyst by analyst basis, for selected analysts, a graphical indication of whether analysts analyst by analyst basis, for selected analysts, a graphical indication of whether analysts by analyst basis, for selected analysts, a graphical indication of whether analysts by analyst basis, for selected analysts, a graphical indication of whether analysts by analyst by analyst basis, for selected analysts, a graphical indication of whether analysts by analyst by analyst by analyst by analyst basis, for selected analysts, a graphical indication of whether analyst by ana

Applicant:

GATTO

Serial No:

09/775,599

February 5, 2001

Filing Date: Page:

16 of 24

43. (Currently Amended) A The computer-implemented method of elaim 38, for displaying information relating to one or more analysts' recommendations for an action with respect to at least one security, comprising:

- i) an indication of historical performance for an analyst based on selected criteria;
- ii) a current recommendation of the analyst for an action with respect to at least one security; and
- analyst by analyst basis, for selected analysts, a graphical indication of whether an analyst by analyst basis, for selected analysts, a graphical indication of whether an analyst by analyst basis, for selected analysts, a graphical indication of whether and analysts are selected analysts are selected analysts are selected from a group of top analysts based on historical performance.

Applicant:

GATTO

Serial No:

09/775,599

February 5, 2001

Filing Date: Page:

17 of 24

44. (Currently Amended) A The computer-implemented method of elaim 38, for displaying information relating to one or more analysts' recommendations for an action with respect to at least one security, comprising:

- i) an indication of historical performance for an analyst based on selected criteria;
- ii) a current recommendation of the analyst for an action with respect to at least one security; and
- iii) wherein displaying further comprises simultaneously displaying, on an analyst by analyst basis, for selected analysts, a graphical indication of whether an analyst by analyst basis, for selected analyst's current recommendation exceeds a numerical value associated with the analyst's current recommendation exceeds a numerical value associated with a consensus recommendation for the at least one security by a predetermined amount or is below the numerical value associated with the aconsensus recommendation by a predetermined amount and the difference between the numerical value associated with the analyst's recommendation and the numerical value associated with the consensus, wherein the selected analysts are selected from a group of top analysts based on historical performance.
- 45. (*Currently Amended*) The method of claim 44, wherein the group of top analysts comprises one or more top analysts based on historical performance for a the at least one selected security.

Applicant:

GATTO

Serial No:

09/775,599

Filing Date:

February 5, 2001

Page:

18 of 24

46. (*Currently Amended*) The method of claim 44, wherein the group of top analysts comprises one or more top analysts based on historical performance for a selected sector.

47. (*Currently Amended*) The method of claim 44, wherein the group of top analysts comprises one or more top analysts based on historical performance for a selected industry.

48. (*Currently Amended*) The method of claim 44, wherein the group of top analysts comprises one or more top analysts based on an analyst's historical performance for all securities for which the analyst has issued recommendations.

49. (*Currently Amended*) The method of claim 44, wherein the group of top analysts comprises one or more top analysts based on an analyst's historical performance for a user-selected group of securities.

50-51. (*cancelled*)

Applicant:

GATTO

Serial No: Filing Date:

09/775,599

February 5, 2001

Page:

19 of 24

52. (Currently Amended) A The computer-implemented method of claim 51, for displaying information relating to security analysts' estimates, the method comprising:

displaying simultaneously, on an analyst by analyst basis, for selected analysts:

- i) an indication of historical accuracy for an analyst for one or more securities;
- ii) the analyst's estimate for a future event for the one or more securities; and
- <u>iii)</u> model information relating to the use of the analyst's estimate in an aggregation prediction model; and

wherein the model information comprises <u>one or more</u> exclusion factors, and wherein displaying further comprises displaying an indication of whether an the analyst's estimate is excluded <u>from use in the aggregation prediction model</u>.

Applicant:

GATTO

Serial No:

09/775,599

Filing Date:

February 5, 2001

Page:

20 of 24

53. (Currently Amended) A The computer-implemented method of claim 51, for displaying information relating to security analysts' estimates, the method comprising:

displaying simultaneously, on an analyst by analyst basis, for selected analysts:

- i) an indication of historical accuracy for an analyst for one or more securities;
- ii) the analyst's estimate for a future event for the one or more securities;
- iii) model information relating to the use of the analyst's estimate in an aggregation prediction model; and

wherein the model information comprises <u>one or more</u> exclusion factors, and wherein displaying further comprises displaying an indication of whether an the analyst's estimate is excluded <u>from use in the aggregation prediction model</u> and an indication of the reason for exclusion <u>if the analyst's estimate</u> is excluded from use in the aggregation prediction model.

Applicant:

GATTO

Serial No:

09/775,599

Filing Date:

February 5, 2001

Page:

21 of 24

54. (Currently Amended) A The computer-implemented method of claim 51, for displaying information relating to security analysts' estimates, the method comprising:

displaying simultaneously, on an analyst by analyst basis, for selected analysts:

- i) an indication of historical accuracy for an analyst for one or more securities;
- ii) the analyst's estimate for a future event for the one or more securities;
- iii) model information relating to the use of the analyst's estimate in an aggregation prediction model; and

wherein the model information comprises <u>one or more</u> weighting factors, and wherein displaying further comprises displaying an indication of the <u>a</u> weight applied to an the analyst's current estimate.